

# BANKING, FINANCE AND RELATED (FINC)

## **FINC 1001 Management Accounting Fundamentals (10 Credit Points)** **Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc1001/>) **Legacy Code:** 200116

This subject replaced by ACCT 1010 Management Accounting Fundamentals from Trimester 2, 2022. This subject provides an introduction to management accounting in an e-commerce environment. The interrelations of management accounting to other functional areas, to suppliers, to customers, and to other sources of external information relevant to planning and control are examined. Topics include the development and logic of routine and non-routine analysis performed to support management decision making.

**Level:** Undergraduate Level 1 subject

**Pre-requisite(s):** ACCT 1007

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

## **FINC 1003 Professional Relationships and Communication in Business (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc1003/>) **Legacy Code:** 200747

This subject will introduce students to and assist them in the development of a broad range of necessary skills required by today's professional advisers. Such skills are highly valued, transferable and universally recognised in the world of work and will assist students in managing their day to day relationships with clients and colleagues. Skills include: \* Creation and on going maintenance of the client adviser relationship and a recognition of the importance of trust as the foundation of that relationship; \* Identification of the broad needs of clients and an appreciation of differing levels of client financial literacy; \* Communication and interpersonal skills which contribute to productive relationships with clients and colleagues; \* Professional/Academic research and writing skills; \* Enhanced team based skills that contribute to productive working relationships and outcomes.

**Level:** Undergraduate Level 1 subject

**Equivalent Subjects:** LGYA 4516 - Financial Advisory Relationships

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

## **FINC 1004 Financial Concepts and the Language of Business (WSTC) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc1004/>)

A business needs to know how to generate funds and ultimately invest it in resources that will bring it the most benefit. Keeping track of this money as it flows in and out of an enterprise is critical for success which is why we refer to it as speaking the language of business. In this subject, you will learn how to keep consistent records as dictated by accounting principles. With the basics in place, you will further your understanding of where funds come from and how financial information can be used to make informed choices about the future direction of the business.

**Level:** Undergraduate Level 1 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

## **FINC 1005 Financial Foundations (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc1005/>)

This subject introduces students to fundamental principles of business finance in the context of the Australian and global financial environment. Students will explore basic financial concepts, key sources of financing for businesses, and emerging sustainable finance instruments, while developing skills in evaluating the financial performance of organisations. This subject examines the structure and function of financial markets and institutions, and considers the role of banking, interest rates, green finance, and FinTech innovations, in shaping modern business financing decisions. Through problem solving activities, case studies, and use of an industry database, students will gain practical insight into the real-world relevance of finance.

**Level:** Undergraduate Level 1 subject

**Equivalent Subjects:** BUSM 1010

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

## **FINC 1006 Financial Institutions and Technology (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc1006/>)

The investment, financing and risk management decisions made by individuals, firms and governments are implemented by creating and trading financial instruments in financial markets, often with the involvement of a variety of institutions. Using the Australian financial system as an illustration, this subject introduces students to the theory and functions of financial institutions and markets. Students develop an understanding of the role and functions of bank and non-bank financial institutions and of markets in equities, debt, foreign exchange and derivatives. The subject also examines emerging technologies in finance, including FinTech innovations such as digital payments, blockchain, and cryptocurrencies.

**Level:** Undergraduate Level 1 subject

**Equivalent Subjects:** ECON 1003

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

## **FINC 2002 Personal Asset Management (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc2002/>) **Legacy Code:** 200265

This subject provides students with necessary knowledge and skills to construct and manage a portfolio of personal assets. Emphasis is placed on how the individual, rather than the firm, approaches financing and investing decisions. The subject will consider the theories of portfolio construction, concepts of investment risk, return and diversification, matching asset allocation based on client's individual risk profile, design and management of a personal investment portfolio and the law as it relates to investments.

**Level:** Undergraduate Level 2 subject

**Pre-requisite(s):** FINC 3006

**Incompatible Subjects:** -

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 2003 Corporate Finance (UG) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc2003/>)

This subject introduces the fundamental concepts of finance theory and the tools of financial decision making in the context of the Australian institutional environment. These concepts relate primarily to the time value of money, risk and return, capital budgeting and capital structure. The subject's purpose is to develop an understanding of the basic practices of financial management from the perspective of a firm (both large and small). Students examine the investment, financing and dividend decisions of corporations.

**Level:** Undergraduate Level 2 subject

**Pre-requisite(s):** ECON 1016

**Equivalent Subjects:** ECON 2002

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3001 Bank Management (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3001/>) **Legacy Code:** 200818

Bank Management provides students with an understanding of modern banking by identifying the main types of risk confronted by banks and applying relevant techniques to measure and manage those risks. Students will recognise that the risks faced, and the methods and markets through which these risks are managed, are similar for the managers of other types of financial institutions such as building societies, investment banks and insurance companies as well as, to some extent, non-financial corporates. Consequently, the subject will prepare students for careers throughout the financial services sector and will also be beneficial for other business professionals.

**Level:** Undergraduate Level 3 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3002 Behavioural Finance (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3002/>) **Legacy Code:** 200518

Traditional theories of finance are based the assumption that investors are both rational and utility maximizing. The Efficient Markets Hypothesis in particular has assumptions about investor behaviour which underpin its key predictions. The tenants of behavioural finance disputes the validity of these assumptions. This subject challenges traditional theory by examining how decision making and investor behaviour may be driven by personal and market psychology.

**Level:** Undergraduate Level 3 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3004 Financial Planning (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3004/>) **Legacy Code:** 200627

The subject endeavours to provide students with an understanding of the complex process and contextualisation of the Australian Financial Planning Industry. This subject aims to introduce students to the principles of personal financial planning and the provision of personal financial advice including the preparation of a Statement of Advice (SOA). Topics covered include the current Australian regulatory environment, strategies for the accumulation of wealth and risk protection, retirement planning, estate planning, taxation consequences, debt and credit management and home ownership. This subject meets ASIC requirements (PS146) in relation to both knowledge and skills.

**Level:** Undergraduate Level 3 subject

**Pre-requisite(s):** FINC 3014

**Equivalent Subjects:** -

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3006 Insurance Advising - Theory and Practice (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3006/>) **Legacy Code:** 200272

This subject introduces students to the profession of Financial Planning and provides a substantial grounding in the theories and practices of the profession with a special focus on insurance and risk management. This subject aims to enable students to make knowledgeable and sound risk management decisions, to understand advisory functions and the role and legal obligations of the adviser in the financial planning and insurance advisory process. The subject is useful to students who wish to increase their knowledge generally of Financial Planning but also for students specifically interested in the insurance aspects of the financial planning process. The subject is designed to include an understanding and exploration of managing personal risk, the operation of insurance markets, regulation, insurance products including life, general, health and mandated policies and recommendations for using insurance products for individuals, their families and small business. This subject meets Financial Adviser Standards and Ethics Authority (FASEA) accreditation requirements and also introduces general Financial Planning concepts.

**Level:** Undergraduate Level 3 subject

**Equivalent Subjects:** -

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3007 International Property (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3007/>) **Legacy Code:** 200545

This subject examines international property, with particular attention given to international property investment and the factors influencing international property markets. The development of international property markets is assessed, including the structure of mature, developing and emerging property markets. The performance analysis of both direct and indirect international property is also examined to assess the strategic contribution of international property in an investment portfolio.

**Level:** Undergraduate Level 3 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3008 Investment Management (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3008/>) **Legacy Code:** 200819

Investment Management describes the theory and practice of investment decision-making. The general objective of the subject is to introduce students to the tools of financial investment by providing a conceptual framework within which the key financial decision of investment can be analysed. This subject provides an overview of the theory of investing by describing investor indifference curves and optimal portfolios. The subject will include evaluating asset allocation, security selection and security analysis within an active portfolio management framework, measuring portfolio performance and security selection decisions.

**Level:** Undergraduate Level 3 subject

**Incompatible Subjects:** ECON 3015 - Investment Management ECON 3020 - Portfolio Management

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3009 Property Finance (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3009/>) **Legacy Code:** 200875

The aim of this subject is to provide insight into property finance in Australia and overseas. Students critically review equity and debt financing and examine the financing alternatives available, as well as methods for evaluating these alternatives. Students also examine the impact of debt financing on a property and evaluate the taxation aspects of property transactions. In addition, students gain both a theoretical and an applied understanding of an after-tax cash flow projection in this subject. International property finance is also addressed.

**Level:** Undergraduate Level 3 subject

**Equivalent Subjects:** LGYB 6469 Property Finance and Tax FINC 3010 Property Finance and Tax

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3011 Property Investment (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3011/>) **Legacy Code:** 200749

Property Investment addresses critical issues in property investment analysis. The characteristics and fundamentals of property investment will be addressed. Students will learn and apply the concepts of property economics, market analysis, valuation, financial analysis and risk analysis in making property investment decision. The subject pays special attention to the discounted cash flow method as the basis of analysis for investment properties. Finally, students will be introduced to property finance, taxation and international property investment issues.

**Level:** Undergraduate Level 3 subject

**Equivalent Subjects:** LGYA 4694 - Property Investment

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3013 Property Portfolio Management (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3013/>) **Legacy Code:** 200873

This subject examines the role of property in an investment portfolio, with particular attention given to property portfolio performance analysis and property investment strategy. Indirect property investment vehicles in Australia and overseas are assessed, including Real Estate Investment Trusts, property syndicates, property securities funds and unlisted property funds. The performance analysis of both direct and indirect property is also examined to assess the strategic contribution of property to an investment portfolio.

**Level:** Undergraduate Level 3 subject

**Equivalent Subjects:** FINC 3012 Property Portfolio Analysis (V2) LGYA 4695 Property Portfolio Analysis (V2)

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3014 Retirement and Succession Planning (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3014/>) **Legacy Code:** 200899

Retirement and Succession Planning aims to provide a study of the legislative framework and financial planning issues that impact on advice and decisions relating to retirement planning and estate and succession planning. The subject focuses on identifying practical and strategic planning opportunities and outcomes. The subject will be run with students discussing case studies and preparing critical analysis reports on contemporary issues. Successful completion of the subject will introduce students to retirement planning and superannuation concepts which could lead to careers in these fields.

**Level:** Undergraduate Level 3 subject

**Pre-requisite(s):** LAWS 3070

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3015 Security Analysis and Business Valuation (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3015/>) **Legacy Code:** 200921

This subject analyses companies from a fundamental perspective in order to derive an intrinsic value for securities. The focus is on the attempt by active investors to identify mispriced securities using publicly available information, company reports and financial market information. The analytical techniques of financial statement analysis (e.g. fundamental analysis, free cash flow analysis and pro-forma analysis) and the issue of the "reliability" and "quality" of publicly available information are discussed and explored. Those contemplating careers in investment banking, financial consulting, trust funds, superannuation funds, hedge funds, and brokerage firms will find this applied subject both useful and interesting.

**Level:** Undergraduate Level 3 subject

**Pre-requisite(s):** ECON 2002

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3016 Property Portfolio Analytics (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3016/>)

This subject examines the strategic role of property in a diversified investment portfolio, with a focus on performance analysis and investment strategy. It covers both direct and indirect property investment, particularly Real Estate Investment Trusts and unlisted property funds in both Australian and global contexts. Students will assess the risk-return characteristics of property and its contribution to portfolio objectives. The subject also explores how environmental, social and governance (ESG) factors are increasingly incorporated into the investment practices of property investment vehicles as part of maturing industry standards.

**Level:** Undergraduate Level 3 subject

**Equivalent Subjects:** FINC 3013

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3017 Property Investment and Finance (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3017/>)

This subject explores key aspects of property investment and finance, focusing on investment analysis, market research and risk analysis. It emphasises the discounted cash flow method for assessing investment properties. Students will also study property finance, taxation, and international investment issues. The subject provides insights into equity and debt financing, evaluating various financing options and their effects on property performance. Tax implications of property investment and after-tax cash flow projections are also examined. By combining theory and practical application, students develop a comprehensive understanding of property investment and finance both within Australia and in global markets.

**Level:** Undergraduate Level 3 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3018 Investment Analysis (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3018/>)

Investment Analysis provides students with the theory and practice of investment decision-making. The subject will introduce students to the theory of financial investment by providing a conceptual framework within which the key financial decisions of investment can be analysed. Students will develop skills in the evaluation of investment portfolio performance and examine the strategies of active portfolio management. The subject engages students in practical exercises in the evaluation of asset allocations, and the application of a professional financial data suite that is relevant to current industry practice.

**Level:** Undergraduate Level 3 subject

**Equivalent Subjects:** FINC 3008

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3019 Applied Finance and FinTech Engagement Project (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3019/>)

This subject will provide students with exposure to problems with which finance professionals are confronted in their daily work. They will learn about and examine the multi-dimensional nature of the issues addressed by applied finance professional in real-life through combined presentations with industry practitioners. Students will need to consider the nature of problems, propose solutions using their cumulative applied finance and fintech skills, as well as address how realistic the solutions they propose are. They will undertake presentations of their studies to industry and will systematically reflect on their learnings and contributions to industry.

**Level:** Undergraduate Level 3 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3020 Global Finance (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3020/>)

The study of international finance from the vantage point of a multinational enterprise provides students with a global insight into international trade for both manufactured and financial products. The subject recognises the increasing importance of global integration of money and capital markets - a trend that is creating expanded opportunities for both investors and organisations that need to raise capital. The recognition and management of risks associated with international operations are explored, including tensions created across global markets by such issues as the imposition of tariffs, the cost of capital arising from international financial market crises, the taxation of MNCs, and the challenges of foreign exchange risk management through the use of futures and options.

**Level:** Undergraduate Level 3 subject

**Pre-requisite(s):** FINC 2003

**Equivalent Subjects:** ECON 3014

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3021 Financial Modelling and FinTech (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3021/>)

This subject introduces students to the application of econometric techniques in finance, with a special focus on the transformative role of FinTech in financial data analysis, modelling, and innovation. Students will gain skills in statistical programming (using Excel and Stata), understand core financial econometrics models, and explore how FinTech applications are built upon and disrupt traditional finance.

**Level:** Undergraduate Level 3 subject

**Pre-requisite(s):** ECON 1016

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 3022 Banking and Finance (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc3022/>)

Banking and Finance provides students with an understanding of modern banking by identifying the main types of risk confronted by banks and applying relevant techniques to measure and manage those risks. Students will recognise that the risks faced, and the methods and markets through which these risks are managed, are similar for the managers of other types of financial institutions such as building societies, investment banks and insurance companies as well as, to some extent, non-financial corporates. Consequently, the subject will prepare students for careers throughout the financial services sector and will also be beneficial for other business professionals.

**Level:** Undergraduate Level 3 subject

**Equivalent Subjects:** FINC 3001

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7001 Communication and Ethics for Financial Planners (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7001/>) **Legacy Code:** 201008

Students are immersed in an ethical framework in which to practice an array of essential skills required, by financial advisers, to create and maintain professional client adviser relationships. The subject investigates the importance of interpersonal skills and various approaches to dealing with the broad needs of clients - communication, psychological aspects of client decision making, culture and the creation of trust in the adviser -client relationship. This subject also includes professional writing skills, team work, time management, financial literacy and the promotion of professional services.

**Level:** Postgraduate Coursework Level 7 subject

**Equivalent Subjects:** FINC 7002 - Communication and Ethics for Financial Planner

**Incompatible Subjects:** FINC 7004 - Financial Adviser Skills

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7002 Communication and Ethics for Financial Planners (EO) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7002/>) **Legacy Code:** 900660

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject



**FINC 7004 Financial Adviser Communication Skills (10 Credit Points)**  
**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7004/>) **Legacy Code:** 200987

In this practical subject students develop the ability to write up a risk profile with objectives for clients with varying needs. Students analyse investment decisions and provide advice to clients which is informed by regulatory issues relevant to the finance industry. Students are required to demonstrate the ability to create a Statement of Advice for clients of different risk preference and investor profile.

**Level:** Postgraduate Coursework Level 7 subject

**Equivalent Subjects:** BUSM 7007 - Business Communication Skills

**Incompatible Subjects:** FINC 7001 - Communication and Ethics for Financial Planners

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7005 Financial Adviser Communication Skills (EO) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7005/>) **Legacy Code:** 900654

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7006 Financial Modelling (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7006/>) **Legacy Code:** 51054

This subject is essential in preparing students for applied financial analysis and modelling applications used extensively in a number of postgraduate finance subjects. It familiarises students with the strengths and limitations of contemporary quantitative modelling techniques using multivariate statistical procedures and optimisation approaches. Students are also familiarised with relevant software.

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7007 Financial Planning Research Project (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7007/>) **Legacy Code:** 200959

Financial Planning Research Project encompasses a major research project and presentation. This equips students with skills to address challenges through research and the ability to apply knowledge developed in earlier subjects of study. This student-centered subject provides supervision of research and analytical practices to enhance skill development and capacity to engage with the problems confronting the financial planning industry. Students will have scope to focus on issues that are of particular concern to the financial planning industry. As an integrating subject, it demands participants bringing their knowledge together and with curiosity to develop recommendations in a format that can showcase their achievements.

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7008 Financial Products and Markets (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7008/>) **Legacy Code:** 200986

This subject introduces students to the wide range of investment products and financial securities. Importantly, it develops students' ability to analyse investment opportunities and understand their respective risk and reward profiles. Students will also develop the computational skills necessary for transactions in financial markets, which enable an informed comparison of securities for the purposes of investment decisions.

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7009 Financial Products and Markets (EO) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7009/>) **Legacy Code:** 900653

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7010 Insurance and Risk Management (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7010/>) **Legacy Code:** 200870

This subject introduces students to the use of a range of insurance types and products to manage risk for individuals and small business. It aims to identify, evaluate and manage risk as part of the financial planning process to produce a compliant statement of advice. A number of risk management strategies are identified and the importance of life insurance, total and permanent disablement, trauma policies, property insurance, health cover, income protection and business insurance in managing risk is addressed. The subject provides an understanding of the various issues that can arise with respect to insurance policies and premiums and uses both the multiple and needs analysis approaches to calculate the level of cover required. A holistic approach to the risk management process is provided where a variety of insurance covers are considered in the context of a full financial plan that is adequate and affordable to the client and their specific needs as identified in the data collection process.

**Level:** Postgraduate Coursework Level 7 subject

**Pre-requisite(s):** FINC 7020 AND

FINC 7011 OR

FINC 7008

**Equivalent Subjects:** LGYB 6488 Insurance and Risk Management (PG)

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7011 Investment Planning (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7011/>) **Legacy Code:** 200868

Investment Planning is one of the core subjects in the Master of Commerce (Financial Planning). The course is designed to provide the educational basis to enable students to increase competence as professional financial advisers and enable them to gain an advanced knowledge and understanding of the financial planning industry. The subject introduces basic concepts of risk and return in relation to investment planning. Various investment vehicles are covered including shares and fixed interest investments.

**Level:** Postgraduate Coursework Level 7 subject

**Equivalent Subjects:** LGYB 6482 Principles of Investment Planning (PG)

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7012 Investment Planning (EO) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7012/>) **Legacy Code:** 900656

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7013 Planning for Retirement (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7013/>) **Legacy Code:** 200871

This subject provides an understanding of key issues, decisions and frameworks involved in financial planning for later life and succession. It enables the student to provide advice on business succession planning strategies, to evaluate the most appropriate estate planning for different types of clients at different stages of their life, to analyse wills, trusts and social security provisions, to apply relevant analytical and decision making tools to succession, trust administration, tax strategy and similar post-retirement decisions.

**Level:** Postgraduate Coursework Level 7 subject

**Pre-requisite(s):** FINC 7020

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7014 Planning for Retirement (EO) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7014/>) **Legacy Code:** 900657

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7015 Principles of Financial Planning (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7015/>) **Legacy Code:** 200866

This introductory subject describes the nature and process of financial planning and financial planning participants within the Australian economic, legal, social, cultural and ethical environment. The key influences affecting the client relationship are identified along with a focus on the use of verbal and non-verbal communication skills to develop client rapport. The subject also involves evaluating data collection and risk tolerance tools and identifying the impact of the regulatory and economic environment on stakeholders. Other topics involve budgetary analysis, term structure, investment decisions, ethics, client goal-setting and statement of advice compliance. The subject provides the foundational knowledge essential to develop and apply comprehensive knowledge across all financial planning areas.

**Level:** Postgraduate Coursework Level 7 subject

**Equivalent Subjects:** LGYB 6479 Principles of Financial Planning (PG)

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7016 Principles of Financial Planning (EO) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7016/>) **Legacy Code:** 900658

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7017 Property Investment Analysis (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7017/>) **Legacy Code:** 200696

This subject introduces the concept of investment analysis in the context of property. It explores the unique features of income-producing property and examines the performance of these properties, their loans and their taxation through the application of a variety of investment techniques. Also covered are risk analysis techniques for income-producing properties and portfolios.

**Level:** Postgraduate Coursework Level 7 subject

**Equivalent Subjects:** LGYB 6499 - Property Investment Analysis (V2)

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7019 Statement of Advice Research Project (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7019/>) **Legacy Code:** 200960

Statement of Advice Research Project encompasses a major research project, a Statement of Advice (SOA). This SOA equips students with knowledge and skills to address challenges through research and the ability to apply knowledge developed in earlier subjects of study. This student-centered subject provides skill development and capacity to engage with the requirements of the profession. Students will have scope to focus on issues relevant to a case study as a means of developing a comprehensive SOA for a client (in the case study). As an integrating subject, it demands participants bringing together their knowledge to develop recommendations based on learning from earlier subjects. This subject also ensures students meet the regulatory and accreditation education requirements to be a registered financial adviser.

**Level:** Postgraduate Coursework Level 7 subject

**Pre-requisite(s):** Students enrolled in 2793 Masters of Financial Planning or 2807 Master of Stockbroking and Financial Advising must have successfully completed 60 credit points

**Equivalent Subjects:** LGYB 6493 - Current Issues in Financial Planning

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7020 Superannuation (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7020/>) **Legacy Code:** 200867

This subject provides an understanding of the key issues, decisions and frameworks that affect financial planning for retirement. The subject focuses on superannuation and effective financing of retirement. It includes key concepts in prudential supervision of Australian superannuation, exploration of types of funds relevant to retirement, appreciating trends in retirement financing policies and their effects on providing advice, understanding the social security and age care systems' effect on retirement planning strategies; and correctly computing tax liabilities on superannuation, pensions, and estate management. This subject is the principal one for learning about self-managed superannuation funds, for whom they are suitable and for whom they are not.

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7021 Superannuation (EO) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7021/>) **Legacy Code:** 900659

**Level:** Postgraduate Coursework Level 7 subject

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7022 Applied Project (Finance and Property) (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7022/>) **Legacy Code:** 201100

Applied Project (Finance and Property) is the integrating capstone subject, which brings together the skills that property students have developed during the course in preparing a high quality professional report in the finance or property industry. The subject encourages property students to demonstrate their ability to solve multi-disciplinary problems in relation to current and future issues that affect the finance or property sectors. The subject will also allow students to critically evaluate issues in their industry to determine and assess potential industry implications. The topics are determined from year to year by discussions between the individual student, the subject coordinator and the student's chosen supervisor.

**Level:** Postgraduate Coursework Level 7 subject

**Equivalent Subjects:** MKTG 7003 - Applied Project (Finance and Property)

**Restrictions:** Please see the Subject Details page for any restrictions for this subject

**FINC 7023 Introduction to Finance (10 Credit Points)**

**Subject Details** (<https://hbook.westernsydney.edu.au/subject-details/finc7023/>)

This subject provides business leaders and managers with a contextualised and non-technical introduction and overview of key financial concepts. The subject covers the fundamental principles underlying finance and financial decision-making by individuals and organisations. Upon completion of this subject, learners will possess the analytical skills necessary to understand and assess basic financial data using a range of metrics relevant in a range of contexts including green and sustainable finance.

**Level:** Postgraduate Coursework Level 7 subject

**Equivalent Subjects:** BUSM 7007

**Restrictions:** Please see the Subject Details page for any restrictions for this subject