

BUSM 7031 FINANCIAL INSTITUTION MANAGEMENT

Credit Points 10

Legacy Code 51165

Coordinator Linda Wu ([https://directory.westernsydney.edu.au/search/name/Linda Wu/](https://directory.westernsydney.edu.au/search/name/Linda%20Wu/))

Description This subject provides participants with an overview of the types of financial institutions and the regulatory context within which they operate. Financial risk management and corporate responsibility serve as an underpinning framework to consider the complexity associated with managing contemporary financial institutions in an Australian and International context. A focus of the subject is to provide participants with an opportunity to use techniques to measure and manage financial risk.

School Business

Discipline Business And Management

Student Contribution Band HECS Band 4 10cp

Check your fees via the Fees (https://www.westernsydney.edu.au/currentstudents/current_students/fees/) page.

Level Postgraduate Coursework Level 7 subject

Restrictions

Students must be enrolled in a postgraduate Business program.

Assumed Knowledge

51163 - Financial Institutions and Markets and/or 200426 - Corporate Finance.

Learning Outcomes

On successful completion of this subject, students should be able to:

1. Identify the main types of financial risk confronted by financial institutions;
2. Apply relevant techniques to measure and manage financial risks;
3. Identify the main regulations and operations of financial institutions within such regulations;
4. Critically evaluate contemporary issues, including financial risks, affecting financial institutions and their owners, managers, employees and customers in an Australian and international context.

Subject Content

1. Why are financial intermediaries special?
2. Risks of financial institutions
3. Interest rate risk
4. Credit risk
5. Fintech and operational risk
6. Sovereign risk
7. Market risk and off-balance-sheet risk
8. Foreign exchange risk
9. Liquidity risk
10. Managing risks

Assessment

The following table summarises the standard assessment tasks for this subject. Please note this is a guide only. Assessment tasks are regularly updated, where there is a difference your Learning Guide takes precedence.

Type	Length	Percent	Threshold	Individual/ Group Task
Intra-session Exam	2 hours	30	N	Individual
Case Study	3,000 words	20	N	Group
Final Exam	2 hours	50	N	Individual

Prescribed Texts

- Sauders, A. et al. 2024, Financial Institutions Management: A Risk Management Approach. 11th edition, New York. McGraw-Hill, ISBN 9781266138225.

Teaching Periods

Quarter 3 (2024)

Parramatta City - Macquarie St

On-site

Subject Contact Linda Wu ([https://directory.westernsydney.edu.au/search/name/Linda Wu/](https://directory.westernsydney.edu.au/search/name/Linda%20Wu/))

View timetable (https://classregistration.westernsydney.edu.au/even/timetable/?subject_code=BUSM7031_24-Q3_PC_1#subjects)